



#21 req for  
refund  
08-18-02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **REISSUE PATENT APPLICATION** of:

Koiwai et al.

**Reissue Appln. No.:** 10/001,397

**Filed:** October 25, 2001

**U.S. Patent No.:** 5,978,160

**Issued:** November 2, 1999

**For:** LENS BARREL HAVING A FLARE  
DIAPHRAGM WHICH CAN ADVANCE  
INTO AND RETREAT FROM THE  
OPTICAL PATH TO ELIMINATE  
DELETERIOUS LIGHT RESULTING  
FROM MOVEMENT OF THE LENS

**Our File:** IPO-P1132.1

**Date:** January 4, 2002

**Group:** Not Yet Known

**Examiner:** Not Yet Known

### REQUEST FOR REFUND

Box 17  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

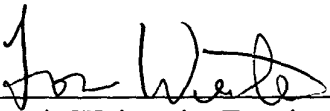
Pursuant to 37 C.F.R. §1.28(a), Applicants respectfully request a refund of **Six Hundred Ten Dollars (\$610.00)**. Applicants inadvertently submitted a Fee Transmittal (Form PTO/SB/17) for a new re-issue patent application together with a check in the amount of \$1,856.00. Applicants submit herewith a Reissue Application Fee Transmittal (Form PTO/SB/56), showing fees totalling \$1,246.00, entitling Applicants to a refund of \$610.00.

**Applicant:** Koiwai et al.  
**Application No.:** 10/001,397

Please credit the refund to Deposit Account No. **22-0493**. Two copies of this communication are enclosed.

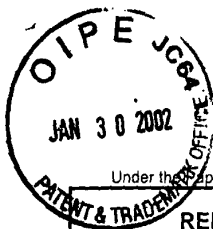
Respectfully submitted,

Koiwai et al.

By   
Louis Weinstein, Esquire  
Registration No. 20,477  
(215) 568-6400

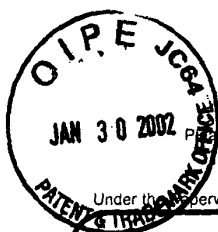
Volpe and Koenig, P.C.  
Suite 400, One Penn Center  
1617 John F. Kennedy Boulevard  
Philadelphia, PA 19103

LW/fap  
Enclosures



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REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) IPO-P1132.1		
Claims as Filed - Part 1								
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity Rate      Fee		Other than a Small Entity Rate      Fee		
(A)    23	Total Claims (37 CFR 1.16(j))	(B)    26	****    3    =	x \$ _____ =		or	x \$ 18.00 =      \$54.00	
(C)    15	Independent claims (37 CFR 1.16(i))	(D)    18	*        3    =	x \$ _____ =			x \$ 84.00 =      \$252.00	
Basic Fee (37 CFR 1.16(h))      \$							\$ 740.00	
Total Filing Fee      \$						OR	\$ 1,246.00	
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity Rate      Fee		Other than a Small Entity Rate      Fee	
Total Claims (37 CFR 1.16(j))	***    26	MINUS	**        23	*        3    =	x \$ _____ =		x \$ 18.00 =      \$54.00	
Independent Claims (37 CFR 1.16(i))	***    15	MINUS	*****    12	=    3    =	x \$ _____ =		x \$ 84.00 =      \$252.00	
Total Additional Fee						OR	\$306.00	
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 22-0493. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> A check in the amount of \$ _____ to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p style="text-align: center;"><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"><div style="width: 45%;">January 4, 2002 Date</div><div style="width: 45%; text-align: center;"><div style="text-align: left; margin: 0 auto; width: 80%;">Signature of Applicant, Attorney or Agent of Record</div><div style="text-align: left; margin: 0 auto; width: 80%;">Louis Weinstein, Esquire</div><div style="text-align: left; margin: 0 auto; width: 80%;">Typed or printed name</div></div><div style="text-align: center; margin-top: 10px;">Reg. No. 20,477</div></div>								



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/001,397
Filing Date	October 25, 2001
First Named Inventor	Kowai et al.
Group Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	IPO-P1132.1

Total Number of Pages in This Submission 7

**ENCLOSURES (check all that apply)**

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input checked="" type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Reissue Application Fee Transmittal Form (PTO/SB/56) [in duplicate]. |
|--|--|---|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Louis Weinstein, Esquire Volpe and Koenig, P.C.	Reg. No. 20,477
Signature		
Date	January 4, 2002	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

January 4, 2002

Typed or printed name	Louis Weinstein, Esquire	Date	January 4, 2002
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.